BEFORE THE ARIZONA BOARD OF OSTEOPATHIC EXAMINERS

IN MEDICINE AND SURGERY

IN THE MATTER OF:	Case No.: DO-08-4177A
LYNN SWEET, D.O. Holder of License No. 3246 For the practice of osteopathic medicine in the State of Arizona	FINDINGS OF FACT, CONCLUSIONS OF LAW, AND ORDER FOR DECREE OF CENSURE AND PROBATION

On October 28, 2008, the Arizona Board of Osteopathic Examiners ("Board") received a complaint against Lynn Sweet, D.O. ("Respondent"). Respondent was notified of the complaint on October 28, 2008. On December 8, 2008, the Board received Respondent's response to the complaint.

On January 21, 2010, the Board noticed Respondent to appear at the Investigative Hearing of this matter on March 20, 2010, and provided Respondent with the investigative materials, pursuant to A.R.S. § 32-3206. Respondent received those on January 25, 2010. Respondent did not inform the Board he was declining to appear.

On March 20, 2010, the Board conducted the Investigative Hearing. Respondent appeared and was not represented by counsel.

After hearing testimony and considering the documents and evidence submitted, the Board voted to enter the following Findings of Fact and Conclusions of Law, and Order for Decree of Censure and Probation.

JURISDICTIONAL STATEMENTS

1. The Board is empowered, pursuant to A.R.S. § 32-1800 et seq., to regulate the practice of osteopathic medicine in the State of Arizona, and the conduct of the persons licensed, registered, or permitted to practice osteopathic medicine in the State of Arizona.

2. Respondent is the holder of License No. 3246 issued by the Board for the practice of osteopathic medicine in the State of Arizona.

FINDINGS OF FACT

- 3. Patients C.C., M.C., and their two minor children were patients at Respondent's practice between 1995 and 2004. C.C. testified that the members of her family initially were patients of the prior owners of the practice, and they continued as patients to the practice after Respondent purchased it from the prior owners.
- 4. Between July 2007 and October 2008, C.C. made multiple requests for copies of the family's medical records in order to document a disability claim for M.C. and ensure the continuity of care for a minor child.
- 5 On or about October 2008, Respondent provided copies of C.C.'s medical records for care rendered between 1997 and 2003. Respondent stated that he could not find any of the other three sets of patient records.
- 6. Respondent failed to maintain and provide copies of medical records on a timely basis, and admitted he lost three patients' records.

CONCLUSIONS OF LAW

- 7. The conduct described above is a violation of unprofessional conduct pursuant to A.R.S. § 32-1854 (6), which states "Engaging in the practice of medicine in a manner that harms or may harm a patient or that the board determines falls below the community standard."
- 8. The conduct described above is a violation of unprofessional conduct pursuant to A.R.S. § 32-1854 (28), which states "Failing to make patient medical records in the physician's possession promptly available to a physician assistant, a nurse practitioner, a person licensed pursuant to this chapter or a podiatrist, chiropractor, naturopathic physician, physician or homeopathic physician licensed under chapter 7, 8, 13, 14, or 29 of this title on receipt of proper authorization to do so from the patient, a minor patient's parent, the legal guardian or the patient's authorized representative or failing to comply with title 12, chapter 13, article 7.1."

9. Title 12, chapter 13, article 7.1 includes A.R.S. § 12-2293, which states: "Except as provided in subsections B and C of this section, on the written request of a patient or the patient's health care decision maker for access to or copies of the patient's medical records and payment records, the health care provider in possession of the record shall provide access to or copies of records to the patient or the patient's health care decision maker."

- 10. The Board has taken action against Respondent's license prior to the Investigative Hearing held on March 20, 2010, as follows:
 - (a) At its meeting on September 29, 2007 the Board issued a non-disciplinary Letter of Concern to Respondent, based on concerns about his supervision of staff and prescribing habits, and required Respondent to complete twenty (20) hours of continuing medical education in appropriate prescribing of pain medications and pain management.
 - (b) At its meeting on September 12, 2009, the Board found that Respondent had engaged in unprofessional conduct in that he did not response to multiple Board-issued subpoenas and lacked communication with the Board. The Board levied a civil penalty of \$250, which Respondent paid in a timely manner.

ORDER

Pursuant to the authority vested in the Board, IT IS HEREBY ORDERED that Lynn Sweet, D.O., holder of osteopathic medical License number 3246,

- 1. Is issued a **DECREE OF CENSURE**;
- 2. Is placed on **PROBATION** for a period of Two (2) Years from the date this Order is issued, subject to the following terms:
- (a) Respondent shall complete twenty (20) hours of Continuing Medical Education in medical record keeping and the Health Information Portability and Access Act (HIPAA) within twelve (12) months of the effective date of this Order. All Continuing Medical Education is to be pre-approved by the Executive Director.

- (b) Respondent shall utilize a Board-approved practice monitor that will provide to the Board an evaluation of Respondent's medical record keeping system and policies, and that will monitor compliance with the evaluation. Respondent shall ensure that the practice monitor provides an initial report to the Board within 90 days of the date of this order, and quarterly thereafter during the probationary period.
 - (c) All costs of compliance are to be borne by Respondent.
- 3. Respondent shall obey all federal, state and local laws, and all rules governing the practice of medicine in the State of Arizona.
- 4. Respondent shall appear in person before the Board or Board staff for interviews upon request, upon reasonable notice.
- Respondent shall immediately notify the Board in writing of any change in office or home addresses and telephone numbers.
- 6. In the event that Respondent ceases to practice clinical medicine in the State of Arizona, for any reason, Respondent shall notify the Board that he has ceased practicing in the State of Arizona, in writing, within 10 days of ceasing to practice. The Board may stay the terms of this Order until such time as the Respondent resumes the practice of medicine in the State of Arizona, or may take other action to resolve the findings of fact and conclusions of law contained in this Order for Probation.
- 7. Respondent's failure to comply with the requirements of this Order shall constitute an allegation of unprofessional conduct as defined at A.R.S. § 32-1854(25); proven violations may be grounds for further disciplinary action (e.g., suspension or revocation of license).

8. NOTICE OF RIGHT TO REQUEST REVIEW OR REHEARING

Respondent has the right to request a rehearing or review of this matter pursuant to A.R.S. § 41-1092.09. The request for rehearing or review must be filed with the Arizona Board of Osteopathic Examiners within thirty (30) days. If Respondent requests a review or rehearing,

that request must be based on at least one of the eight grounds for review or rehearing that are allowed under A.A.C. R4-22-106(D). Failure to file a motion for rehearing or review within 30 days has the effect of prohibiting Respondent from seeking judicial review of the Board's decision. Service of this order is effective five (5) days after date of mailing. A.R.S. § 41-1092.09(C). If a petition for rehearing or review is not filed, the Board's Order becomes effective thirty-five (35) days after it is mailed to Respondent. DAY OF MARCH 2010. ISSUED THIS 3 ARIZONA BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AN SURGERY William A Sun Elaine LeTarte, Executive Director Original "Findings of Fact, Conclusions of Law and Order for Decree of Censure and Probation" sent by certified mail, return receipt requested this day of March, 2010 to: Lynn Sweet, D.O. Address of Record

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Copies of this "Findings of Fact, Conclusions of Law and Order for Decree of Censure and Probation" sent this day of March, 2010 to:

Arizona Board of Osteopathic Examiners In Medicine and Surgery 9535 East Doubletree Ranch Road Scottsdale AZ 85258-5539

Camila Alarcon, AAG Office of the Attorney General CIV/LES 1275 West Washington Phoenix AZ 85007

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